

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
11675.114



In Re Application Of: **Kei-Yu Ko**

Serial No.
08/846,671

Filing Date
April 30, 1997

Examiner
George Goudreau

Group Art Unit
1763

Title: **UNDOPED SILICON DIOXIDE AS ETCH STOP FOR SELECTIVE ETCH OF DOPED SILICON DIOXIDE**

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Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☒ the fee set forth in 37 CFR 1.17(p).

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(d))

- ☒ A check in the amount of **SEE PTD-2038** is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of
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I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

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Dated: November 20, 2001

Jesus Juanos i Timoneda, Ph. D.

Attorney for Applicant

Reg. No. 43,332

CC:

Docket No: 11675.114



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Kei-Yu Ko

Serial No.: 08/846,671

Filed: April 30, 1997

For: UNDOPED SILICON DIOXIDE AS ETCH STOP
 FOR SELECTIVE ETCH OF DOPED SILICON
 DIOXIDE

Examiner: George Goudreau

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its

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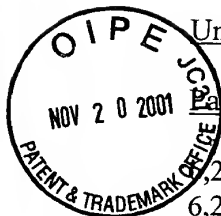
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entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.



United States Patent Documents

<u>Patent No.</u>	<u>Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub Class</u>
5,271,542	8/2001	Emma, et al.	257	67
6,242,759	6/2001	Yamazaki, et al.	257	69
5,321,286	6/1994	Koyama, et al.	257	315
4,489,478	12/1984	Sakurai		
4,472,729	9/1984	Shibata, et al.		
4,381,201	4/1983	Sakurai		

Foreign Patent (Translation of the Abstract enclosed)

<u>Patent No.</u>	<u>Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub Class</u>
5-335482	12/1993	Japan		
4-360570	12/1992	Japan		

Dated this 20th day of November, 2001

Respectfully submitted,

Jesús Juanós i Timoneda, Ph. D.
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